



**HAWAII STATE ETHICS COMMISSION  
DISCLOSURE OF FINANCIAL INTERESTS (FORM D-201)**

<b>HAWAII STATE ETHICS COMMISSION</b> 1001 Bishop Street, Pacific Tower Suite 970 P.O. Box 616 Honolulu, Hawaii 96809 Telephone: 587-0460 Fax: 587-0470 email: <a href="mailto:ethics@hawaiiethics.org">ethics@hawaiiethics.org</a>		<div style="text-align: right; font-size: small;">Rev. 12/01</div> <div style="text-align: center;">For Office Use Only</div> DATE REC'D: 05/02/2003 FILE NO.: 03-D-3136  DOH	
<b>IMPORTANT: Please read instructions carefully before filling out this form.</b>			
FULL NAME (Last, First, Middle) Lau, Laurence Kenneth		SPOUSE'S FULL NAME (Last, First, Middle) Schwaiger, Barbara Lucia	
DEPENDENT CHILDREN'S FULL NAMES (Last, First, Middle) Lau, Max Lau, Alexander			
RESIDENCE ADDRESS [REDACTED]			
MAILING ADDRESS [REDACTED]			
BUSINESS TELEPHONE 586-4424		STATE DEPARTMENT/DIVISION OR BOARD/COMMISSION Department of Health	
RESIDENCE TELEPHONE [REDACTED]		STATE POSITION HELD Deputy Director for Environmental Health	TERM OF OFFICE: Begin: 4-1-03 End: -

**FOR EACH ITEM, EXCEPT ITEM 9, DISCLOSE INTERESTS OF FILER, SPOUSE, AND DEPENDENT CHILDREN.**  
USE THE ABBREVIATIONS: "F" for filer, "SP" for spouse, "DC" for dependent children, and "JT" for joint interests of the spouse and filer.

**ITEM 1: INCOME FOR SERVICES RENDERED FOR PRECEDING CALENDAR YEAR**

List the source (the term "source" also includes any state or other government agencies) and amount of all income of \$1,000 or more received during the preceding calendar year, for services rendered, and the nature of the services rendered.

F, SP, DC, JT	NAME AND ADDRESS OF SOURCE OF INCOME	AMOUNT	SERVICES RENDERED
F	State of Hawaii-Dept of Attorney General 465 South King St., Rm 200 Honolulu, HI 96813	E	lawyer
SP	East of Java Manoa Marketplace Honolulu, HI 96822	B	sales
SP	Self-employed 2527 Tantalus Dr. Honolulu, HI 96813	B	deliveries

☐ Check here if entry is None

☐ Check here if additional sheets are attached.

**ITEM 2: OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES**

List the amount and identity of every ownership or beneficial interest held during the disclosure period in any business incorporated, regulated, or licensed to carry on business in the State if the interest has a value of \$5,000 or more or is equal to 10% or more of the ownership of the business.

F,SP, DC,JT	BUSINESS NAME AND ADDRESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE OR NO. OF SHARES
F	American Electric Power	power	stock	550 shares
F	ATT	communications	stock	220 shares
F	Lucent	commun. equip.	stock	516 shares
F	SBC	communications	stock	4613 shares
F	Applied Material	computer equip.	stock	400 shares
F	Merrill Lynch cash acct	financial investment	money market acct	H
F	mutual funds, Pimco, Scudder	financial investment	account	C, B
F	Met Life	life insurance	beneficiary	F-cash

☐ Check here if entry is None

☐ Check here if additional sheets are attached.
**ITEM 3: TRANSFER OF OWNERSHIP OR BENEFICIAL INTERESTS IN BUSINESSES**

List any ownership or beneficial interests in businesses transferred during the disclosure period and the date of transfer.

F,SP, DC,JT	OWNERSHIP OR BENEFICIAL INTEREST TRANSFERRED DURING THIS DISCLOSURE PERIOD	DATE OF TRANSFER

☒ Check here if entry is None

☐ Check here if additional sheets are attached.
**ITEM 4: CREDITORS**

List the name and address of each creditor to whom the value of \$3,000 or more was owed during the disclosure period and the original amount and amount outstanding (excluding debts arising out of retail transactions or the purchase of consumer goods).

F,SP, DC,JT	NAME OF CREDITOR AND ADDRESS	ORIGINAL AMOUNT OWED	AMOUNT OUTSTANDING
F	Hawaii State Federal Credit Union 560 Halakauwila Honolulu, HI 96813	G	G

☐ Check here if entry is None

☐ Check here if additional sheets are attached.

**ITEM 5: OFFICERSHIPS, DIRECTORSHIPS, TRUSTEESHIPS**

List every officership, directorship, trusteeship, or other fiduciary relationship held during the disclosure period in any business or organization, the term of office, and the annual compensation.

F,SP, DC,JT	NAME AND ADDRESS OF BUSINESS	TITLE HELD	TERM OF OFFICE	ANNUAL COMPENSATION
F SP	Trust of Laurence K. Lau, dated 11-28-94 Trust of Barbara L. Schwaiger, dated 11-28-94	trustee trustee	indefinite indefinite	none none
<input type="checkbox"/> Check here if entry is None <input type="checkbox"/> Check here if additional sheets are attached.				

**ITEM 6: INTERESTS IN REAL PROPERTY HELD IN THE STATE**

List interests in real property in the State, held during the disclosure period, if the interest has a value of \$10,000 or more.

F,SP, DC,JT	STREET ADDRESS	TAX MAP KEY NUMBER	VALUE
F	2527 Tantalus Drive Honolulu, HI 96813	2-4-034-015	I
JT	Kalihiwai Ridge, Phase II, Lot 29, Unit 5 Kilauea, Kauai, HI 96754	5-2-022-027	H
<input type="checkbox"/> Check here if entry is None <input type="checkbox"/> Check here if additional sheets are attached.			

**ITEM 7: INTERESTS IN REAL PROPERTY ACQUIRED**

List interests in real property in the State, acquired during the disclosure period, if the interest has a value of \$10,000 or more.

F,SP, DC,JT	TAX MAP KEY NUMBER & STREET ADDRESS	AMOUNT & NATURE OF CONSIDERATION PAID	NAME OF PERSON RECEIVING THE CONSIDERATION
<input checked="" type="checkbox"/> Check here if entry is None <input type="checkbox"/> Check here if additional sheets are attached.			

**ITEM 8: INTERESTS IN REAL PROPERTY TRANSFERRED**

List interests in real property in the State, transferred during the disclosure period, if the interest has a value of \$10,000 or more.

F,SP,DC,JT	TAX MAP KEY NUMBER & STREET ADDRESS	AMOUNT & NATURE OF CONSIDERATION RECEIVED	NAME OF PERSON FURNISHING THE CONSIDERATION

☒ Check here if entry is None

☐ Check here if additional sheets are attached.

**ITEM 9: CLIENTS PERSONALLY REPRESENTED BEFORE STATE AGENCIES**

List the names of clients personally represented by you before state agencies, except in ministerial matters, for a fee or compensation during the disclosure period, excluding clients represented before courts.

NAME OF CLIENT	NAME OF STATE AGENCY
	<div style="text-align: center;"> <p>RECEIVED</p> <p>03 MAY -2 AMO :27</p> <p>STATE OF HAWAII STATE ETHICS COMMISSION</p> </div>

☒ Check here if entry is None

☐ Check here if additional sheets are attached.

**ITEM 10: CREDITOR INTERESTS IN INSOLVENT BUSINESSES**

List the amount and identity of every creditor interest in insolvent businesses, held during the disclosure period, if the interest has a value of \$5,000 or more.

F,SP,DC,JT	NAME AND ADDRESS OF BUSINESS	NATURE OF BUSINESS	NATURE OF INTEREST	VALUE

☒ Check here if entry is None

☐ Check here if additional sheets are attached.

**CERTIFICATION:** I hereby certify that the above is a true, correct, and complete statement to the best of my knowledge and belief. If I have a spouse and/or dependent children, I also hereby certify that I have included their interests on this form to the best of my knowledge and belief. I understand that it is a violation of State law, chapter 84, HRS, if information is not disclosed as required by chapter 84, HRS. I further understand that there are statutory penalties for noncompliance.

*James K. Jan*  
SIGNATURE

5-2-03  
DATE